EXHIBIT 13

Case 20-03190-sqi Doc 80-12 Filed 02/01/21 Entered 02/01/21 19:22:01 Page 2 of 4

From: Jim Dondero <JDondero@HighlandCapital.com> **To:** Scott Ellington <SEllington@HighlandCapital.com>

Subject: FW: Jefferies recap

Date: Fri, 18 Dec 2020 16:03:32 -0600

Importance: Normal

Inline-Images: image001.jpg; image002.png

From: Joe Sowin

Sent: Friday, December 18, 2020 3:34 PM

To: Jim Dondero ; DC Sauter **Subject:** FW: Jefferies recap

JOSEPH R. SOWIN

Co-Chief Investment Officer & Global Head of Equity Trading

MANAGEMENT FUND ADVISORS

300 Crescent Court | Suite 700 | Dallas, Texas 75201

O: 972.419.4492 | C: 203.912.5935 | F: 972.628.4147

jsowin@highlandcapital.com www.highlandcapital.com

From: James Seery <<u>jpseeryjr@gmail.com</u>> Sent: Friday, December 18, 2020 3:32 PM

To: Steven Haltom < SHaltom@HighlandCapital.com>; Brad Gianiny < bgianiny@jefferies.com>; Cyrus Eftekhari@HighlandCapital.com>; Christopher A Bianchi < cbianchi@jefferies.com>; James

Colasanto <<u>jcolasanto@jefferies.com</u>>; James Romey <<u>jromey@DSIConsulting.com</u>>; Joe Sowin <<u>JSowin@HighlandCapital.com</u>>; Matt Pearson <<u>MPearson@HighlandCapital.com</u>>; NYMO1

NYMO1@Jefferies.com; Ronald Wong Ronald-vong@jefferies.com; R-Settlement R-Settlement

Settlement@Highlandfunds.com>

Cc: Thomas Surgent < TSurgent@HighlandCapital.com>

Subject: Re: Jefferies recap

To be clear, Avaya should be allocated evenly to the 10 CLOs that hold it. NO account sold short. Thanks

Best. Jim

Jim Seery

631-804-2049

jpseeryjr@gmail.com

From: Jim Seery < jpseeryjr@gmail.com> **Date:** Friday, December 18, 2020 at 4:30 PM

To: Steven Haltom <SHaltom@HighlandCapital.com>, Brad Gianiny
 Sgianiny@jefferies.com>, Cyrus

Case 20-03190-sgj Doc 80-13 Filed 02/01/21 Entered 02/01/21 19:32:01 Page 3 of 4

Eftekhari < <u>CEftekhari@HighlandCapital.com</u>>, Christopher A Bianchi < <u>cbianchi@jefferies.com</u>>, James Colasanto < <u>jcolasanto@jefferies.com</u>>, James Romey < <u>jromey@DSIConsulting.com</u>>, Joseph Sowin < JSowin@HighlandCapital.com>, Matt Pearson < MPearson@HighlandCapital.com>, NYMO1

<<u>NYMO1@Jefferies.com</u>>, Ronald Wong <<u>rwong@jefferies.com</u>>, R-Settlement <<u>R-</u>

Settlement@Highlandfunds.com>

Cc: Thomas Surgent < TSurgent@HighlandCapital.com>

Subject: Re: Jefferies recap

The NXRT is out of the HCMLP internal.

SKY should be allocated evenly across Grayson and Greenbriar CLO

Avaya should be allocated pro rata across all of the CLOS except Acis 7.

Please call me with any questions.

Thanks

Best. Jim

Jim Seery

631-804-2049

jpseeryjr@gmail.com

From: Steven Haltom < SHaltom@HighlandCapital.com>

Date: Friday, December 18, 2020 at 4:21 PM

To: Brad Gianiny < <u>bgianiny@jefferies.com</u>>, Cyrus Eftekhari < <u>CEftekhari@HighlandCapital.com</u>>, Christopher A Bianchi < <u>cbianchi@jefferies.com</u>>, James Colasanto < <u>jcolasanto@jefferies.com</u>>, Jim Seery

<jpseeryjr@gmail.com>, James Romey <jromey@DSIConsulting.com>, Joseph Sowin

<JSowin@HighlandCapital.com>, Matt Pearson <<u>MPearson@HighlandCapital.com</u>>, NYMO1

< NYMO1@Jefferies.com >, Ronald Wong < rwong@jefferies.com >, R-Settlement < R-

Settlement@Highlandfunds.com>

Subject: RE: Jefferies recap

Brad,

What accounts are these in?

Steven Haltom | Manager, Operations



300 Crescent Court | Suite 700 | Dallas, Texas 75201

 $972.628.4178 \hspace{0.1cm}|\hspace{0.1cm} \underline{shaltom@hcmlp.com} \hspace{0.1cm}|\hspace{0.1cm} \underline{www.hcmlp.com}$

From: Brad Gianiny < bgianiny@jefferies.com > Sent: Friday, December 18, 2020 3:02 PM

To: Cyrus Eftekhari < Christopher A Bianchi < cbianchi@jefferies.com; James Colasanto < jefferies.com; Jim Seery

Case 20-03190-sgj Doc 80-13 Filed 02/01/21 Entered 02/01/21 19:32:01 Page 4 of 4

<ipseervir@gmail.com>; James Romey <<u>jromey@DSIConsulting.com</u>>; Joe Sowin

<<u>JSowin@HighlandCapital.com</u>>; Matt Pearson <<u>MPearson@HighlandCapital.com</u>>; NYMO1

<<u>NYMO1@Jefferies.com</u>>; Ronald Wong <<u>rwong@jefferies.com</u>>; R-Settlement <<u>R-</u>

<u>Settlement@Highlandfunds.com</u>>

Subject: Jefferies recap

SKY- sld 22k @ 32.2251

NHF- sld 10k @ 10.5461

AVYA- sld 6412 @ 19.7598

Brad Gianiny

Equity Sales Trader

Jefferies LLC

520 Madison Avenue, 2nd Floor

New York, NY 10022

W: 212-284-2291

C: 770-366-6253

http://www.jefferies.com/salesandtradingdisclaimer/

http://extwebdev.qa.jefco.com/europeandasiasalesandtradingdisclaimer/

Jefferies archives and monitors outgoing and incoming e-mail. The contents of this email, including any attachments, are confidential to the ordinary user of the email address to which it was addressed. If you are not the addressee of this email you may not copy, forward, disclose or otherwise use it or any part of it in any form whatsoever. This email may be produced at the request of regulators or in connection with civil litigation. Jefferies accepts no liability for any errors or omissions arising as a result of transmission. Use by other than intended recipients is prohibited.

DISCLAIMER- This email is intended for the recipient(s) only and should not be copied or reproduced without explicit permission. The material provided herein is for informational purposes only and does not constitute an offer or commitment, a solicitation of an offer, or any advice or recommendation, to enter into or conclude any transaction. It may contain confidential, proprietary or legally privileged information. If you receive this message in error, please immediately delete it.

PRIVILEGE WARNING: The sender or recipient of this message is a member of the legal department at Highland Capital Management. This message and any attachments hereto may constitute attorney work product or be protected by the attorney-client privilege. Do not disclose this message or any attachments hereto without prior consent of a member of the legal department at Highland Capital Management.